

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>TJA-121US</b>
INTERNATIONAL APPLICATION NO. PCT/ES2003/000586	INTERNATIONAL FILING DATE 18 November 2003 (18.11.2003)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/535,539</b>
TITLE OF INVENTION ADJUSTABLE TRANSFER UNIT WHICH IS USED TO TRANSFER ALIGNED, UPRIGHT OBJECTS FROM A FIRST CONVEYOR TO A SECOND CONVEYOR		PRIORITY DATE CLAIMED 18 November 2002 (18.11.2002)
APPLICANT(S) FOR DO/EO/US <b>Alex MARTI MERCADE; Jaime MARTI SALA</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

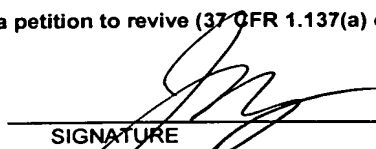
1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mail Certificate; Return Postcard; PTO-2038; Certified Copy of U 200202924; Communication Regarding Title; Formalities Letter

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/535,539</b>		INTERNATIONAL APPLICATION NO. <b>PCT/ES2003/000586</b>		ATTORNEY'S DOCKET NUMBER <b>TJA-121US</b>	
The following fees have been submitted				<b>CALCULATIONS      PTO USE ONLY</b>	
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... <b>\$300</b>				\$	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... <b>\$0</b> All other situations..... <b>\$200</b>				\$	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... <b>\$0</b> Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... <b>\$100</b> International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB..... <b>\$400</b> All other situations..... <b>\$500</b>				\$	
<b>TOTAL OF 21, 22 and 23 =</b>				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets      Extra Sheets      Number of each additional 50 or fraction thereof (round up to a whole number) <b>RATE</b>				\$	
- 100 =      /50 =      x \$250				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				65	
<b>SUBTOTAL =</b>				\$ 65	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				\$ 65	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				\$ 65	
05/18/2006 MKAYPAGH 00000148 10535539				Amount to be refunded:	\$
01 FC:2617      65.00 OP				Amount to be charged:	\$ 65
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b> <input checked="" type="checkbox"/> Customer Number <span style="border: 1px solid black; padding: 2px;">23122</span> <div style="text-align: center;">OR</div> <input type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 <input type="checkbox"/> RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899 Date : <span style="border: 1px solid black; padding: 2px;">May 15, 2006</span>					
				SIGNATURE  Jacques L. Etkowicz NAME 41,738 REGISTRATION NUMBER	

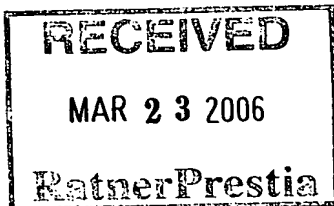


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/535,539	FIRST NAMED APPLICANT Jaime Marti Sala	ATTY. DOCKET NO. TJA-121US
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23122  
RATNERPRESTIA  
P O BOX 980  
VALLEY FORGE, PA 19482-0980



INTERNATIONAL APPLICATION NO. PCT/ES03/00586	
I.A. FILING DATE 11/18/2003	PRIORITY DATE 11/18/2002

CONFIRMATION NO. 9226  
371 FORMALITIES LETTER



\*OC000000018261600\*

Date Mailed: 03/17/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/18/2005
- English Translation of the IA filed on 05/18/2005
- Copy of the International Search Report filed on 05/18/2005
- Preliminary Amendments filed on 05/18/2005
- Information Disclosure Statements filed on 05/18/2005
- Oath or Declaration filed on 05/18/2005
- Request for Immediate Examination filed on 05/18/2005
- U.S. Basic National Fees filed on 05/18/2005
- Priority Documents filed on 05/18/2005
- Specification filed on 05/18/2005
- Claims filed on 05/18/2005
- Abstracts filed on 05/18/2005
- Drawings filed on 05/18/2005

Missing Rec Dcu (2mo) 5-17-06  
D

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/535,539	PCT/ES03/00586	TJA-121US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Alex Marti Mercade; Jaime Marti Sala

Docket No.

TJA-121US

Serial No.

10/535,539

Filing Date

May 18, 2005

Examiner

Group Art Unit

Invention: ADJUSTABLE TRANSFER UNIT WHICH IS USED TO TRANSFER ALIGNED, UPRIGHT OBJECTS FROM A FIRST CONVEYOR TO A SECOND CONVEYOR

I hereby certify that the following correspondence:

Return Postcard

PTO-1390 + copy (4)

PTO-2038 (1)

Communication Regarding Title (1)

Formalities Letter (2)

Executed Declaration/POA (3)

Claim to Right of Priority (1)

Certified Copy of Spanish Utility Model Patent Application No. U 200202924 (8)

*(Identify type of correspondence)*

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop PCT,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on May 15, 2006

Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 614760263 US*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/535,539  
Applicants: Alex Marti Mercade et al.  
Filed: May 18, 2005  
Title: ADJUSTABLE TRANSFER UNIT WHICH IS USED TO TRANSFER  
ALIGNED, UPRIGHT OBJECTS FROM A FIRST CONVEYOR TO A SECOND  
CONVEYOR  
Docket No.: TJA-121US

**COMMUNICATION REGARDING TITLE**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the title remain the same as originally filed in PCT International Application No. PCT/ES2003/000586 and not amended as requested in a Preliminary Amendment filed with the above-identified application on May 18, 2005.

Respectfully submitted,

RatnerPrestia

  
\_\_\_\_\_  
Jacques L. Etkowicz, Reg. No. 41,738  
Attorney for Applicants

JLE/kpc

Dated: May 15, 2006

P. O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

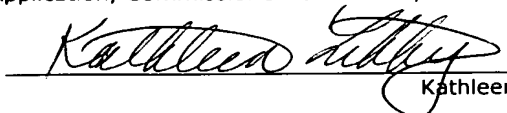
The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith..

**EXPRESS MAIL**

Mailing Label Number:  
Date of Deposit:

EV 614760263 US  
May 15, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Kathleen Libby